

FAN Mail[®]

Record Codes
Quick Reference Guide

The material is provided to you under a license agreement which restricts disclosure by you of this material. The material herein contains proprietary confidential information and trade secrets of substantial economic value to DST Systems, Inc. Any disclosure or dissemination of this information to persons or concerns not authorized by DST Systems, Inc. is strictly prohibited.

The names of companies, products, people, characters, and/or data mentioned herein are fictitious and are in no way intended to represent any real individual, company, product, or event, unless otherwise noted. DST Systems, Inc. reserves the right to revise this publication and to make changes to its content, at any time, without any obligation to notify any person or entity of such revisions or changes. This document is not a comprehensive technical reference guide and applies only to the version of the software stated herein.

All obligations of DST Systems, Inc. with respect to its systems, software, and services are described solely in written agreements between DST Systems, Inc. and its customers. This document does not constitute any amendment, interpretation, or other modification of any agreement between DST Systems, Inc. and any party.

DST SYSTEMS, INC. IS NOT EXTENDING ANY WARRANTIES OR MAKING ANY REPRESENTATIONS TO ITS CUSTOMER WITH RESPECT TO THE SERVICES OF ANY INTERNET SERVICES PROVIDER OR TO ANY OTHER PRODUCTS OR SERVICES USED OR INSTALLED IN CONJUNCTION WITH THE DST SYSTEMS, INC. SYSTEMS, SOFTWARE AND SERVICES. THE ONLY WARRANTIES AND REPRESENTATIONS THAT DST SYSTEMS, INC. IS MAKING TO ITS CUSTOMER WITH RESPECT TO THE PRODUCTS AND SERVICES OF DST SYSTEMS, INC. ARE THOSE EXPRESSLY STATED IN THE WRITTEN AGREEMENT BETWEEN DST SYSTEMS, INC. AND ITS CUSTOMER. EXCEPT AS SET FORTH THEREIN, ALL SYSTEMS, SOFTWARE, SERVICES, AND RELATED DOCUMENTATION ARE PROVIDED "AS-IS", AND DST SYSTEMS, INC. SPECIFICALLY DISCLAIMS ANY AND ALL REPRESENTATIONS AND WARRANTIES, EXPRESS OR IMPLIED, INCLUDING WITHOUT LIMITATION WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE.

DST SYSTEMS, INC. SHALL NOT BE RESPONSIBLE AND SHALL HAVE NO LIABILITY FOR ANY ACTUAL, SPECIAL, INCIDENTAL, DIRECT, INDIRECT, PUNITIVE, EXEMPLARY OR CONSEQUENTIAL DAMAGES OR ANY DAMAGES WHATSOEVER ARISING OUT OF OR IN CONNECTION WITH IMPROPER INSTALLATION OF SOFTWARE OR FAILURE TO COMPLY WITH THE INSTRUCTIONS CONTAINED HEREIN, AND ANY LIABILITY OF DST SYSTEMS, INC. TO ITS CUSTOMER FOR OTHER DAMAGES OF ANY KIND OR FOR ANY OTHER THING OR MATTER SHALL BE LIMITED AS SET FORTH IN THE AGREEMENT BETWEEN DST SYSTEMS, INC. AND ITS CUSTOMER.

© 2011, DST Systems, Inc. All rights reserved.

DST SmartDesk, TA2000, and TRAC are registered trademarks of DST Systems, Inc. AWD is a registered trademark of DST Technologies, Inc. Other products and company names mentioned herein are the trademarks of their respective owners.

FAN Mail Record Codes Quick Reference Guide
December 2011

DST Systems, Inc.
333 West 11th Street
Kansas City, MO 64105-1594
www.dstsystems.com

Prepared in U.S.A.

TA2000 and FAN Mail Record Codes

TA2000 Record Codes

A list of commonly accepted TA2000 record codes is listed. Record codes may vary by management company. If you require additional information regarding the use of a specific record code, contact your Client Services representative.

Record Code	Description
002	Reinvest from Another Fund
005	Order Purchase
006	Order Redemption
007	Order Purchase Cancel
008	Order Redemption Cancel
009	Redemption Fee
010	Dividend Fee
011	State Withholding
023	Share Class Exchange Purchase
024	Share Class Exchange Redemption
025	Share Class Exchange Purchase Cancel
026	Share Class Exchange Redemption Cancel
030	Loan Repayment
031	Loan Interest Payment
032	Loan Repayment Cancel
033	Loan Interest Repay Cancel
040	Fund Merger Exchange In
041	Fund Merger Exchange Out
042	Fund Merger Exchange In Cancel
043	Fund Merger Exchange Out Cancel
044	Third Party Advisory Fee
045	Cancel Third Party Advisory Fee
046	Trustee Fee
047	Cancel Trustee Fee
048	TPA Purchase Cancel
049	TPA Purchase
050	Exchange Purchase
051	Exchange Redemption
052	Transfer Purchase
053	Transfer Redemption
054	Systematic Withdrawal Plan (SWP) Transaction
055	Pre-Authorized Checking (PAC) Transaction
056	Reinvest to Another Fund
057	Direct Purchase
058	Direct Redemption


Record Code	Description
059	Purchase Cancel
060	Redemption Cancel
061	Exchange Purchase Cancel
062	Exchange Redemption Cancel
063	Transaction Fee
064	Dividend Increase to Shares
065	Dividend Decrease to Shares
066	Dividend Increase to Dollars
067	Dividend Decrease to Dollars
068	Capital Gain Increase to Shares
069	Capital Gain Decrease to Shares
070	Capital Gain Increase to Dollars
071	Capital Gain Decrease to Dollars
072	Short-Term Increase to Shares
073	Short-Term Decrease to Shares
074	Short-Term Increase to Dollars
075	Short-Term Decrease to Dollars
076	Increase Dividend Withholding Dollars
077	Decrease Dividend Withholding Dollars
078	Increase Capital Gain Withholding Dollars
079	Decrease Capital Gain Withholding Dollars
080	Increase Short-Term Withholding Dollars
081	Decrease Short-Term Withholding Dollars
082	Increase Redemption Withholding Dollars
083	Decrease Redemption Withholding Dollars
084	Increase Foreign Tax (FTX) Adjustment Purchase of Shares
085	Cancel Foreign Tax
086	Decrease Foreign Tax (FTX) Adjustment Dollars
087	Fiduciary Withholding Decrease Shares
088	Fiduciary Withholding Decrease Dollars
089	Fiduciary Withholding Increase Shares
090	Fiduciary Withholding Increase Dollars
091	Redemption Withholding Shares
092	Increase Capital Gain Withholding Shares
093	Increase Short-Term Withholding Shares
094	Increase Redemption Withholding Shares
095	Increase Dividend Withholding Shares
096	Fee Adjustment Dollars
097	Foreign Tax (FTX) Adjustment Decrease to Shares
098	No Effect to Share Balance

FAN Mail Record Codes

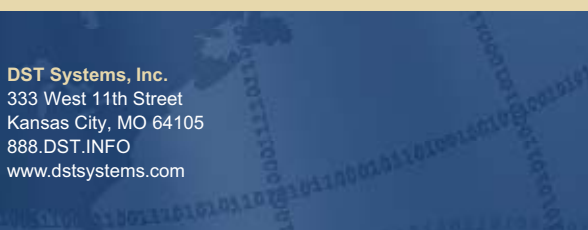
A list of commonly accepted FAN Mail record codes is listed. If you require additional information regarding the use of a specific record code, contact your Client Services representative.

Record Code	Description
500	Hardship Distribution
501	Hardship Distribution - Cancel
502	Recharacterization In (Purchase)
503	Recharacterization In (Purchase) - Cancel
504	Recharacterization Out (Redeem)
505	Recharacterization Out (Redeem) - Cancel
506	Roth Conversion In
507	Roth Conversion In - Cancel
508	Roth Conversion Out
509	Roth Conversion Out - Cancel
510	Rollover Dist To Qualified Plan (Redeem)
511	Rollover Dist To Qualified Plan (Redeem) - Cancel
512	Rollover Dist To Qualified Plan (Purch)
513	Rollover Dist To Qualified Plan (Purch) - Cancel
514	Spousal Continuation (No Affect To Units)
515	Spousal Continuation (No Affect To Units) - Cancel
516	Spousal Continuation (Increase Units)
517	Spousal Continuation (Increase Units) - Cancel
518	Spousal Continuation (Decrease Units)
519	Spousal Continuation (Decrease Units) - Cancel
553	Transfer of Assets Purchase
554	Cancel Transfer of Assets Purchase
555	Cancel Transfer of Assets Redemption
556	Transfer of Assets Redemption
557	Loan Payment
558	Redeem for Loan
559	Loan Payment Cancellation
560	Redeem for Loan Cancellation
561	Cost of Insurance
562	Cost of Insurance Cancellation
563	Premium Payment
564	Premium Payment Cancellation
565	1035 Exchange Purchase
566	1035 Exchange Purchase Cancellation
567	1035 Exchange Redemption
568	1035 Exchange Redemption Cancellation
569	Partial Withdrawal
570	Partial Withdrawal Cancellation
571	Interest Charge
572	Cancel Interest Charge
573	Interest Credit

Record Code	Description
574	Cancel Interest Credit
575	Surrender
576	Cancel Surrender
577	Surrender Charge
578	Cancel Surrender Charge
579	Not Taken Out
580	Cancel Not Taken Out
581	Lapse Redemption
582	Cancel Lapse Redemption
583	Grace Period Redemption
584	Cancel Grace Period Redemption
585	Rebalance Purchase
586	Rebalance Purchase Cancellation
587	Rebalance Redemption
588	Rebalance Redemption Cancellation
589	Bonus Payment
590	Cancel Bonus Payment
600	Income Allocation Reinvestment
601	Income Allocation Cash Distrib
602	Cancel Income Allocation Reinvestment
603	Cancel Income Allocation Cash Distrib
604	Return of Capital Distribution
605	Cancel Return of Capital Distribution
606	Return of Capital Reinvested
607	Cancel Return of Capital Reinvested
685	Withholding from Return of Capital Distribution
686	Cancel WH Form ROC Distribution
687	Return of Capital Over Initial Investment Distribution
688	Return of Capital Over Initial Investment Reinvest
689	Cancel ROC Over Init Inv Distrib
690	Cancel ROC Over Init Inv Reinvest
777	Price Memo
778	Price Memo - Cancel
998	Cancel Credit Incentive
999	Credit Incentive



Copyright © December 2011, DST Systems, Inc.



DST Systems, Inc.
333 West 11th Street
Kansas City, MO 64105
888.DST.INFO
www.dstsystems.com